



RECEIVED

APR 04 2001

Technology Center 2100

GP 2766
2/31
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Uwe Hansmann, et al.

Examiner: Unknown

Serial No: 09/398,876

Art Unit: 2766

Filed: September 20, 1999

Docket: GE919980072US1(12640)

For: METHOD AND SYSTEM FOR
SECURELY HANDLING INFORMATION
BETWEEN TWO INFORMATION
PROCESSING DEVICES

Dated: March 27, 2001

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

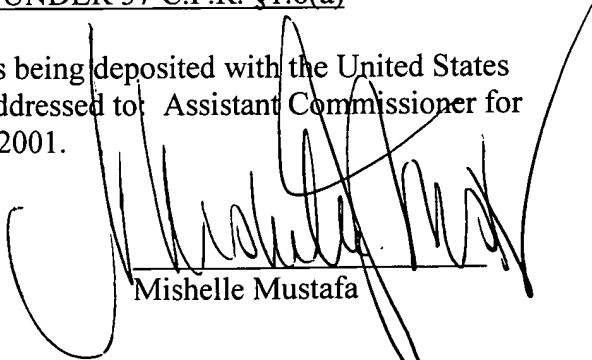
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,689,565, issued November 18, 1997 to Spies, et al.;
2. German Patent No. DE 197 08 616 A1, issued September 17, 1998;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 27, 2001.

Dated: March 27, 2001


Mishelle Mustafa

RECEIVED

APR 04 2001

Technology Center 2100




3. PCT Patent Appln. No. WO 98/03904, issued January 29, 1998; and
4. European Patent Appln. No. EP 0 715 242 A1, issued June 5, 1996.

The references were cited in a Search Report received from the European Patent Office, dated April 12, 2000. Applicant is submitting copies of the above-cited references, along with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,


John S. Sensny
Registration No. 28,757

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530

JSS:gc

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Under 37 CFR 1.97(b) or 1.97(c)

Docket No.

GE919980072US1(12640)

In Re Application of Uwe Hansmann, et al.

Serial No.

09/398,876

Filing Date

September 20, 1999

Examiner

Unknown

Group Art Unit

2766

Title: **METHOD AND SYSTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO INFORMATION PROCESSING DEVICES**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
APR 04 2001
Technology Center 2100

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
GE919980072US1(12640)

In Re Application Of: **Uwe Hansmann, et al.**

Serial No.
09/398,876

Filing Date
September 20, 1999

Examiner
Unknown

Group Art Unit
2766

Title: **METHOD AND SYSTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO INFORMATION PROCESSING DEVICES**

RECEIVED
 APR 04 2001
 Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **March 27, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

John S. Sensny
Signature

John S. Sensny
Registration No. 28,757

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516)742-4343

Dated: **March 27, 2001**

CC: